



International Union Against
Tuberculosis and Lung Disease
Health solutions for the poor

AGREEMENT

BETWEEN : The International Union Against Tuberculosis and Lung Disease, Inc., (**The Union**) whose address is 68, boulevard Saint-Michel, F-75006 Paris, France **AND** Centre for Islamic Economics and Business (CIEB), (hereinafter referred to as '**The Grantee**') whose address is 1st Floor B Building FEB Universitas Indonesia , Kampus UI Depok , Depok, INDONESIA.

WHEREAS The Union is a not-for-profit scientific organization working in the field of tuberculosis on a worldwide basis ;

WHEREAS The Union is managing a grants programme for tobacco control, which may at times be supported by Vital Strategies, with financial assistance from the Bloomberg Philanthropies ;

WHEREAS The Grantee has proposed a project for implementation and the Proposal Review Committee has recommended their proposal for funding, as part of the grants programme of the Bloomberg Initiative to Reduce Tobacco Use ;

WHEREAS The Grantee is available, willing and ready to implement this project as approved by the Proposal Review Committee.

THE PARTIES AGREE AS FOLLOWS:

1. Term : The project implementation will commence on the first day of the month following the date the contract is signed by both partners. The term of this agreement is for 12 (Twelve) months.

2. Maximum amount of award : The Union undertakes to finance a maximum of **USD \$55,551** (Fifty-Five Thousand, Five Hundred and Fifty-One US Dollars only) (**The Contribution**) as detailed in the approved budget attached hereto in **Annex 2**.

3. Administration of the award :

3.1 The funds awarded shall be applied by the Grantee exclusively for provision of services as described in the Proposal Protocol, Budget and Work Plan with specified targets attached in **Annex 1** of this Agreement. The Grantee shall make every effort to ensure timely and full implementation of this Project.

3.2 The Grantee shall not exceed the maximum amount of the approved grant. Any changes above 10% between line items must be made in writing and received prior approval from The Union. The Union must also be informed of any changes below 10% on any line item at the time of making the changes. For the purpose of this Agreement, a line item refers to all the budget lines detailed under the main budget heading agreed by the parties in writing at the time of contract negotiations. Changes to the line item shall not alter the main purpose of this Project and shall be done only to ensure smooth implementation of the agreed project goal.

4. Financial Provisions : The total amount paid by The Union to the Grantee shall not exceed the maximum amount established in **Article 2** of this Agreement, even if the total actual eligible expenditures exceed the approved budget. If the eligible costs at the end of this Project are less than the approved budget total, The Union's Contribution shall be limited to the amount actually spent. The Grantee accepts that the grant given by The Union can under no circumstances result in a profit for itself and that it must be limited to the amount required to balance income and expenditure for implementation of the work plan.

4.1. Payments : The Union shall pay the grant to the Grantee in the following manner, subject to availability of funds:

(a) Pre-financing of 30% of the approved Year 1 Budget : In the case of unavailability of a yearly

breakdown for projects of more than **1** (one) year, The Union shall make a proportionate adjustment to the amount of pre-financing for the total duration of the project, (e.g., the pre-financing amount of a **2-year** project would be **15%** of the total approved contract amount) within **45** (forty-five) days of receipt of the following documents by The Union:

- (i) the Agreement signed by both parties
- (ii) the completed financial identification form, **Annex 5**
- (iii) a request for payment using the model attached in **Annex 6**

- (b) The balance will be paid in instalments based on assessment of funds by both the grantee and The Union staff, subject to receipt and approval of financial and activity reports in the format prescribed by The Union.
- (c) The payments owed by The Union shall be made to the Grantee's bank account referred to in the financial identification form in **Annex 5**.
- (d) The Union shall make payments in US dollars only.
- (e) Any financial report shall be considered approved if there is no written reply from The Union or its agent(s) within **45** (forty five) days of its receipt accompanied by the required documents. The Union or its agent(s) may suspend the time limit for approval of a report by notifying the Grantee that the report cannot be approved and that it finds it necessary to carry out additional verifications. In such cases, The Union or its agent(s) may request clarifications, alterations, or additional information which must be produced by the Grantee within **30** (thirty) days of the request. The time limit recommences on the date that the required information is received.
- (f) The time limit of **45** (forty-five) days for payment referred to in Article **4.1.(a)** shall expire on the date on which The Union's account is debited. Without prejudice to Article **4.1.(a)** The Union may suspend this time limit by notifying the Grantee that the request for payment is inadmissible either because the amount in question is not due or because proper supporting documents have not been supplied or because it deems it necessary to conduct further checks (including on-the-spot checks) to ensure that the expenditure is warranted. The time limit for payment shall recommence on the date on which a correctly formulated request for payment is recorded.

4.2. Eligible Costs : To be considered as eligible, the direct costs of this project must comply with the following rules:

- (a) They must be necessary for carrying out the project, be provided for in the approved budget, and comply with the principles of sound financial management, in particular value for money and cost effectiveness ;
- (b) They must have actually been incurred by the Grantee or its partners during the implementation period of the project as defined in **Article 3** of the Agreement, whatever the time of actual disbursement by the Grantee or a partner and will not affect the eligibility of costs of the final audit ;
- (c) They must be recorded in the accounts or tax documents of the Grantee or its partners and must be identifiable, verifiable and backed by original documents of supporting evidence.

4.3. Subject to the above, and where relevant to the provisions of the approved budget being respected, the following direct costs shall be deemed eligible :

- (a) The costs of staff assigned to the project, corresponding to actual salaries plus fringe benefits and other remuneration related costs ; salaries and costs must not exceed those normally borne by the Grantee or its partners ;
- (b) Travel and subsistence costs for staff taking part in the project, provided they do not exceed those normally borne by the Grantee or its partners ;
- (c) Purchase costs for equipment (new and used) and services, provided they correspond to market rates ;

- (d) Costs of consumables and supplies ;
- (e) Subcontracting expenditure ;
- (f) Costs deriving directly from the requirements of the Agreement (dissemination of information, evaluation specific to the project, audits, translation, reproduction, insurance, etc.) including financial services costs (in particular the costs of bank transfers).
- (g) Value added tax (VAT), Goods and service tax (GST), or other similar taxes that are decided by the state as percentage of the end-market price of goods or services.

4.4. Ineligible Costs :

- (a) Debts and provisions for losses or debts
- (b) Interest owed
- (c) Items already financed in another framework
- (d) Currency exchange losses
- (e) Taxes other than those mentioned under eligible costs in section 4.3

4.5. Indirect costs :

- (a) A lump sum not exceeding **10%** of the direct eligible costs of the project may be claimed as indirect costs to cover the administrative overheads incurred by the Grantee for the implementation of the project ;
- (b) Indirect costs are eligible provided that they do not include costs assigned to another heading of the approved budget.

4.6. Recovery : The Grantee undertakes to repay any amounts paid in excess of the approved amount to The Union within **45** (forty five) days of receiving a request to do so. Should the Grantee fail to make repayment within the established deadline, The Union may (unless the Grantee is a government agency) increase the amounts due by adding interest at the rate applied by the Federal Reserve Bank of the United States. **Bank charges incurred by the repayment of amounts due to The Union shall be borne entirely by the Grantee.**

4.7. The Grantee shall ensure that the Contribution is kept in an institutional account separate from any other funds for the exclusive use of the Bloomberg Initiative grant. The Grantee shall have access to these funds on an immediate basis.

4.8. The Grantee shall administer the account for the Contribution in accordance with the approved Work Plan and Budget (Annexes 1 and 2), ensuring compliance with other applicable rules and procedures and practices and keep separate records and accounts for the project.

4.9. The Grantee shall keep accurate and regular records and accounts of the implementation of the Bloomberg Initiative project using a dedicated double-entry bookkeeping system as part of or as an adjunct to the Grantee's main accounts. This dedicated system shall be run in accordance with procedures dictated by professional practice.

4.10. Accounting records related to the Grant, as well as copies of the reports submitted to The Union or its agent(s), shall be kept by each Grantee for at least **4** (four) years after completion of the use of the grant funds and shall be made available to the Donor for examination upon request.

4.11. In addition to the financial and narrative reports, written reports on the use of capital equipment purchased with grant funds shall be submitted to The Union or its agent(s) as of the end of its fiscal

year in which such grant funds were used to purchase capital equipment and for the next **2** (two) succeeding fiscal years. No further reports on equipment need be furnished unless requested by the Donor. Each report should confirm that such equipment has not been used for any purpose proscribed by the terms of this Agreement.

5. The Contribution may be used only for the charitable, scientific, literary or educational purposes described in the Work plan in **Annex 1** and are to be spent within the term of this Grant. Any funds not expended or committed for the purposes of the Grant, or within the period specified, shall be returned to The Union.

5.1. Monitoring and Evaluation : The Grantee shall allow The Union's or its agent(s)' staff or consultants to verify, by examining the documents or by means of on-the-spot checks, the implementation of the Bloomberg Initiative project and conduct a full evaluation at the end of the project by an independent authority. Furthermore, the Grantee shall give appropriate access to staff or consultants of The Union or its agent(s) or the independent authority to the sites and locations at which the Bloomberg Initiative project is being implemented, including information systems, as well as documents and databases concerning the technical and financial management of the Bloomberg Initiative project and take all steps to facilitate their work. Documents must be easily accessible and filed so as to facilitate their examination and the Grantee must inform The Union's staff or consultants of their precise location.

5.2. Audit : The Grantee shall engage the services of a qualified auditor to conduct an audit after work has finished on this project. The financial audit report must be submitted to The Union or its agent(s) no later than 90 (ninety) days after work has finished.

5.3. Reporting : The Grantee shall provide a quarterly technical and financial report using the format and information given in **Annex 3** and **Annex 4** within **30** (thirty) days of the completion of each quarter. The Grantee shall provide any supplementary information upon request from The Union or its agent(s), to include copies of supporting documents and receipts of expenditures.

5.4. Currency of Reporting : The financial reports shall be submitted in **USD**. The exchange rate should be the exchange rate of the date when the conversion into local currency was made, as recorded by the bank in the Grantee's bank statement.

6. Intellectual Property :

6.1. Scientific publications resulting from or based on the results of the Bloomberg Initiative project are allowed provided that The Union and its agent(s) and the Bloomberg Philanthropies are mentioned as Donors and that the contribution of each of the scientists is acknowledged.

6.2. Any publication related to the Bloomberg Initiative, in whatever form and by whatever medium, including internet, must include the following statement : ***'This document has been produced with the help of a grant from The Union. The contents of this document are the sole responsibility of the authors and can under no circumstances be regarded as reflecting the positions of The Union nor those of the Donors'***.

6.3. The Grantee grants to The Union and its agent(s) a non-exclusive, royalty-free license in perpetuity, to reproduce, exhibit and communicate to the public all text, published and unpublished, in print, in graphics, and in digital documents resulting from works that were developed by the Grantee as part of this Agreement.

7. Visibility : The Grantee must take all necessary steps to publicize the fact that The Union, with financial assistance from the Bloomberg Philanthropies, and technical assistance from The Union's agent(s), has financed or co-financed the activities funded under this Agreement. In particular, the Grantee shall mention this Project and the Donor's financial Contribution in information given to the final recipients of this Project, in its internal and annual reports, and in any dealings with the media.

7.1. The Grantee authorizes The Union to publish its name and address, the purpose of the grant and the maximum amount of the grant. Exemption from publication of this information may be granted if it could endanger the Grantee or harm its interests.

8. Force Majeure :

8.1.If any party hereto is prevented, hindered or delayed from performing its obligations under this Agreement as a result of a Force Majeure, such prevention or delay shall not be considered as a breach of this Agreement and that party shall be relieved of its obligations for the duration of such Force Majeure, provided however that there is a direct relation between such prevention or delay and the Force Majeure.

8.2.In the event of a Force Majeure, the parties shall do their utmost to remedy the situation as quickly as possible. In the event that the proper execution of the work according to the conditions stipulated in this Agreement is hindered or prevented due to a Force Majeure beyond **30** (thirty) days, either party shall be entitled to terminate the Agreement without having to pay indemnity to the other, effective upon the other party's receipt of the appropriate written notice of termination.

9. Conflict of Interest : The Grantee undertakes to take all necessary precautions to avoid conflict of interest and shall inform The Union without delay of any situation constituting or likely to lead to any such conflict. There is a conflict of interest where the impartial and objective exercise of the functions of any person under this Agreement is compromised for reasons involving family, emotional life, political or national affinity, economic or any other shared interest with another person.

10. Assignment : This Agreement and the ensuing disbursements may not be transferred or assigned to a third party in any manner whatsoever without prior written consent from The Union.

11. Independent Grantee Relationship : Nothing contained herein shall be construed to imply a joint venture, partnership, or employer and employee relationship between the parties. Neither party shall have any right, power, or authority to create any obligation, express or implied, on behalf of the other except as defined in this Agreement or as mutually agreed to under the terms of this Agreement. The employees or agents of one party shall not be deemed or construed to be the employees or agents of the other party for any purpose whatsoever.

12. Modifications, Amendments, or Waivers: No modifications or amendments to this Agreement nor the waiver of any provision shall be valid unless presented in writing and signed by duly authorized representatives of the parties.

13. Applicable Laws – Legal Disputes: This Agreement shall be governed by, and construed in accordance with the laws of the State of New York without reference to its conflict of laws, rules or policies as if this Agreement were to be fully carried out in the State of New York. Any dispute relating to the interpretation or application of this Agreement shall, unless amicably settled, be subjected to conciliation. In the event of failure of the latter, the dispute shall be settled by arbitration. The arbitration shall be conducted in accordance with the modalities to be agreed upon by the parties or, in the absence of agreement, in accordance with the **UNCITRAL** Arbitration Rules. The parties shall accept the arbitral award as final.

14. Liability and Indemnification :

14.1. The Union, and their officers, employees and agents, shall not be liable for any injury to the person, including death, or for loss or damages to the property of the Grantee or of anyone else, occasioned by, or in any way attributable to the Grantee under this Agreement unless such injury, loss or damage is caused by the negligence of an officer, employee or agent of The Union or its agent(s) acting within the scope of his/her employment.

14.2. The parties will mutually defend and forever hold each other and their officers, employees and agents harmless from and against any and all third party claims, lawsuits, judgments and expenses (including, without limitation, reasonable legal fees) arising out of any breach by the other party of any provision of this Agreement or any misrepresentation made by the other party and its officers, employees or agents. This paragraph shall survive the termination of this Agreement.

15. Confidentiality: The Grantee shall treat as confidential, during as well as after, the performance of any work under this agreement, any information, including any personal information defined by The

Union, to which the Grantee becomes privy as a result of acting under this Agreement. The Grantee shall not disclose any such information to any other person or party which is not participating in this Agreement in a form that could reasonably be expected to identify the person, including individuals, to whom such information relates.

16. Termination :

- 16.1.** This Agreement may be terminated, in whole or in part, by either party at any time upon **45** (forty five) days prior written notice of termination to the other party.
- 16.2.** Upon termination and settlement of payment for work performed before the date of termination, each party shall be fully and forever released and discharged from any legal and all obligations, covenants or liabilities of whatsoever kind or nature in law or equity or otherwise arising out of or in connection with this Agreement by and between the Grantee and The Union or its agent(s).
- 16.3.** Any disputes pertaining to the termination of this contract will be resolved according to applicable laws in the State of New York.
- 16.4.** In the event that any party hereunder shall breach any of the terms and conditions contained in this Agreement to be kept, observed or performed by it, then the other Party may terminate this Agreement, at their option and without prejudice to any of its other legal or equitable rights, by giving the party who committed the breach **30** (thirty) days notice in writing, specifying the breach.
- 16.5.** In the event that any party shall become insolvent or shall suspend its operations or shall file a voluntary petition or answer admitting to the jurisdiction of a court as to the material allegations of insolvency, or shall make an assignment for the benefit of creditors, then the other party may thereafter immediately terminate this Agreement by giving written notice of termination to such party or its receiver.
- 16.6.** If this Agreement is terminated by The Union pursuant to any of the paragraphs of **Article 16**, the Grantee is entitled to those monetary amounts duly expended or obligations duly incurred for a period no longer than **45** (forty five) days following the date of termination.
- 16.7.** The provisions of **Article 15** shall survive the expiration or termination of this Agreement.
- 17. Complete Agreement:** This Agreement becomes a binding contract only upon signature by both parties and the delivery of fully signed copies to the other party.

18. Notices: All notices and demands under this Agreement shall be made in writing and shall be communicated by e-mail or conventional mail to the mail address of the receiving party.

19. Executive Order on Terrorism Financing (FEB 2002): The Grantee is reminded that the U.S. Executive Orders and U.S. law prohibits transactions with, and the provisions of resources and support to, individuals and organizations associated with terrorism. It is the responsibility of the Grantee to ensure compliance with these Executive Orders and Laws. This provision must be included in all subcontracts/sub awards issued under this grant Agreement.

19.1. Terrorism Certification: By signing this Agreement, the Grantee provides the certification set out below:

1. The Grantee, to the best of its current knowledge, did not provide, within the previous **10** (ten) years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts, as that term is defined in **Paragraph 3**.
2. The following steps may enable the Grantee to comply with its obligations under Paragraph 1:
 - (a) Before providing any material support or resources to an individual or entity, the Grantee will verify that the individual or entity does not:

- (i) Appear on the master list of Specially Designated Nationals and Blocked Persons, which is maintained by the U.S. Treasury's Office of Foreign Assets Control (OFAC) and is available online at the OFAC's website : <https://www.treasury.gov/ofac/downloads/sdnlist.pdf>
- (b) Before providing any material support or resources to an individual or entity, the Grantee will also verify that the individual or entity has not been designated by the United Nations Security (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the "1267 Committee") [individuals and entities linked to the Taliban, Usama bin Laden, or the Al Qaida Organization]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the Grantee should refer to the consolidated list available online at the Committee's website : https://treaties.un.org/Pages/DB.aspx?path=DB/studies/page2_en.xml
- (c) Before providing any material support or resources to an individual or entity, the Grantee will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it should be aware.
- (d) The Grantee will also implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.
3. For the purposes of this Certification:

(a) **'Material Support and Resources'** means:

Currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

(b) **'Terrorist Act'** means :

- (i) An act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see UN terrorism conventions web site : https://www.un.org/sc/suborg/en/sanctions/1267/aq_sanctions_list) ; **or**
- (ii) An act of premeditated, politically motivated violence perpetrated against non-combatant targets by sub national groups or clandestine agents ; **or**
- (iii) Any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organization to do or to abstain from doing any act.

(c) **'Entity'** means a partnership, association, corporation, or other organization, group or subgroup.

(d) References in this Certification to the provision of material support and resources shall not be deemed to include the furnishing of Bloomberg Philanthropies funds or Bloomberg Philanthropies' financed commodities to the ultimate beneficiaries of Bloomberg Philanthropies assistance, such as recipients of food, medical care, micro-enterprise loans, shelter, etc., unless the Grantee has reason to believe that one or more of these beneficiaries commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

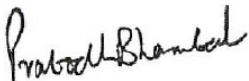
(e) The Grantee's obligations are not applicable to the procurement of goods and/or services by the Grantee that are acquired in the ordinary course of business through contract or purchase, e.g., utilities, rents, office supplies, gasoline, etc., unless the Grantee has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted

to commit, facilitated or participated in terrorist acts. This Certification is an express term and condition of this Agreement, and any violation of it shall be grounds for unilateral termination of this Agreement by The Union prior to the end of its term. This provision must be included in all subgrants and/or subcontracts made under this Agreement

20. Foreign Corrupt Practices Act: The Grantee hereby [(i)] acknowledges that it is familiar with the terms and provisions of, and agrees to comply in all respects with, the U.S. Foreign Corrupt Practices Act of 1977, as amended (the "FCPA") and all applicable international and local country anti-bribery and anti-corruption laws, rules, decrees, orders and regulations (the FCPA and such applicable international and local country laws, decrees and regulations are individually and collectively referred to as "Anti-Corruption Laws") and the general and specific purposes of such Anti-Corruption Laws, and (ii) represents and warrants that it has not acted or failed to act, and to the best of its knowledge none of the Grantee's third party agents or vendors have acted or failed to act, in any manner with respect to the project that could reasonably be expected to constitute a direct or indirect violation of any Anti-Corruption Laws.].

21. The Grantee, in the past five years, has not had any business relationship with or knowingly received payment or other support from any tobacco product manufacturer or wholesaler (the "Tobacco Industry"), or any parent, affiliate, subsidiary, organization, or foundation with majority support from the Tobacco Industry, or any person, interest group, advocacy organization, or other business or organization (other than a law firm, advertising agency, or accounting firm) that represents the interests of the Tobacco Industry (collectively, "Tobacco Affiliates"). The Grantee currently does not accept and shall not accept financial or other support from the Tobacco Industry or Tobacco Affiliates until at least one year after the end of this agreement. Failure to comply with this clause may constitute grounds for termination of this agreement and The Union shall have the right to demand and receive a return of all funds under this agreement, plus interest, as liquidated damages, other provisions of this agreement notwithstanding.

IN WITNESS WHEREOF, the parties have executed this Agreement :



José Luis Castro
Executive Director
The Union

Date: **10/09/2020**

Place: **Paris**



RAHMATINA A. KASRI,PHD
Director
Centre For Islamic Economic And Business (CIEB)

Date: **04/11/2020**

Place: Location Signed
Indonesia

Annex 1 Project Proposal and Workplan

Form A: Project Proposal

ORGANIZATION		
Organization's legal name	Center for Islamic Economic and Business	
My organization is	University	
AUTHORIZED CONTACT PERSON		
Contact person	Title (Dr / Ms / Mr etc)	Dr.
	First name	Abdillah
	Family name	Ahsan
Direct email		mytasivasya@gmail.com

PROJECT	
Project title (20 words or less)	Building economic arguments for tobacco tax reform in Indonesia
Total amount requested in US\$	55,551
Duration of project (in months)	12 months
Proposed start date of project	1 st December 2020
Project scope	-tax/price -coalition building -policy reform
Project outreach: population (number of people) that will be <u>directly</u> affected by your project	250 million (national level)

PART A.1 PROJECT SUMMARY

A.1.1 Provide a concise summary of your proposal

In Indonesia, about 64% of males are smokers, one of the highest in the World. They came from low and middle-income households. A significant contributor has been low excise level—only 57% of retail prices. The World Health Organization recommends at least 70%. Fiscal measures such as taxation and price are the key to reduce smoking prevalence and prevent diseases and deaths from tobacco.

This project directly addresses to build government accountability in implementation of MOF strategic plan to achieve mid-term development plan Recognizing that the Indonesian Ministry of Finance will need to recruit talented young economists in the future, this program will prime potential new hires to understand the issues associated with tobacco control and the importance of a conducive taxation structure that facilitates effective strategies. By investing in this coalition of young economist, we can foster sympathy and support in a cohort that will have a significant influence over the future tobacco control policies

PART A.2 PROJECT DESCRIPTION

A.2.1 Purpose of the project and measurable objectives

The purpose of the project is to support government recovery plan by reformation of taxation.

The project's specific objective

Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.

A.2.2 (a) Context

Indonesia's smoking prevalence reached 34% in 2018. This number has been steady for the last 20 years. Smoking prevalence for male is 68%, the highest in the world. Meanwhile, smoking prevalence for women is almost doubled from 2016 to 2018. Whereas among teenagers 10-18 years old is increasing from 7% (2013) to 9% (2018), in contrast with the government target of 5.4% in 2019. The affordable price of cigarette in Indonesia is the main factor driving the high smoking prevalence in Indonesia, primarily among the children. The cigarette in Indonesia is very cheap, where the average price of a pack of premium brand is \$2 and the price of a pack of cheapest brand is only \$0.45.

The increase in cigarette price is one of the targeted policies in tackling smoking prevalence that could be done mainly by fiscal policy with tax considered as the most effective policy for reducing tobacco use. However, the tobacco tax in Indonesia is still low relative to comparable countries and has complicated structure with 10 tiers of taxes. This could hinder the effectiveness of tobacco excise policy to reduce tobacco use since it will encourage smokers to switch to cheaper brands once tobacco taxes rise applies.

Lack of support from economists to escalate tobacco control policies via fiscal policies makes it necessary to create a coalition of young and future leaders in economics to support these policies. It is important to address not only current policy makers but also the next generations. Hence, this project will be aimed to link young economist, the next generations of MOF high level officials, together with the current policy makers in tobacco tax. This way, this project is expected to invest in the future leaders of MOF on the health mainstreaming of fiscal policies.

(b) Partners

Department of Economics Faculty of Economics and Business Universitas Indonesia (DE FEB UI)

DE FEB UI is a Department under FEB UI which administers five study programs; the Economics undergraduate study program, Islamic Economics undergraduate study program, Economic Planning and Development Policy graduate study program (Masters), Economics graduate study program (Masters and Doctoral), and Population and Labor Economics graduate study program (Masters). The DE is also responsible for creating academic research culture in FEB UI, building national and international networks, and being active in the internationalization of FEB UI. In research activities, the DE also supervises research clusters for its researchers. One of the research clusters is Tobacco Economics. Furthermore, the DE also manages four academic journals, namely (1) Indonesian Journal of Economics and Development (JEPI), (2) Economics and Finance Indonesia (EFI), (3) Indonesian Journal of Population (IJOP), and (4) Journal of Economic Policy (JKE). DE FEB UI is also considered as the best Department of Economics in Indonesia.

Tobacco Control Support Centre (TCSC) Indonesia

TCSC is a think-tank for laying the foundation to develop a systematic response to the tobacco epidemic in Indonesia in the context of developing tobacco control policies. The TCSC is a project to control tobacco use and consumption under the Indonesian Public Health Association. The TCSC tries to develop NGO networks for tobacco control, increase network capacity, develop data centers to support network activities; and works for tobacco control policies and technical assistance for network members.

The organizations to which the Center will work are:

- a. Indonesian Bachelor of Economics Association.
- b. Association of the Indonesian Faculty of Economics & Business

A.2.3 Project strategies and activities

Strategy 1: Coalition building among young economist:

Coalition building among young economist aims to strengthen understanding and foster support for the importance of tobacco control. This is very important because they will have a significant influence over future tobacco control policies. Increasing cigarette excise tax is the most effective tobacco control strategy so that it becomes important to form a coalition with young economists.

Strategy 2: Building policy brief, framework and publication

Based on evidences, policy brief, framework and publication will be done to support MOF plan to increase excise tax, policy amendment, simplification of tax structure.

Strategy 3: Conducting high-level policy meeting to share and disseminate

Excise tax can play an important role in recovery of economy and health system that has been impacted by COVID-19 pandemic. Building policy makers' awareness, communication and commitment to raise tax and prices on harmful products such as tobacco is very critical.

Strategy 4: Preventing and countering industry's interferences

Tobacco industry's donation PPE, medical equipment, and partnering in social work have been seen as one of the key tactics to interfere policy development and implementation. Tobacco

companies are trying to use COVID-19 as an opportunity to market their products and weaken the tobacco control policies such as taxation. Therefore, there is a need to proactively counter and prevent industry activities and support MOF and the government to make progress in taxation.

Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.

- Activity 1.1 Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan
- Activity 1.2 Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom.
- Activity 1.3 Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.
- Activity 1.4 Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.
- Activity 1.5 Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.
- Activity 1.6 Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings
- Activity 1.7 Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.
- Activity 1.8 Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control
- Activity 1.9 Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price
- Activity 1.10 Support government to countering tobacco companies' interferences in policy development and implementation
- Activity 1.11 Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific

insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure.

A.2.4 Evaluation

Evaluation of this project aims to measure achievements, and summarize all feedback on programs that had been implemented. To assess the results and feedback, every month the project team will capture the quality and effectiveness of each activity. Inputs, opinions, comments, counter-arguments from various parties, such as the Indonesian Bachelor of Economics Association and Association of the Indonesian Faculty of Economics & Business would enhance the preparation to write a book that will be recorded and summarized by the project team. The draft will be reviewed by international tobacco economics expert figures who are concerned about tobacco control.

After the book is published, there will be talk shows and conference sessions, the dissemination of information will be focused on controversial issues, as well as finding counter-arguments to support excise taxes and cigarette prices. Likewise, a list of questions, comments, or arguments from various workshops and talk shows will also be summarized and tabulated to monitor the progress of the many supports available in raising excise and cigarette prices. This monitoring process will ensure that the Project Team captures important information needed for policy communication.

Over time, the progress will be disclosed to the policy makers through public hearings as well as to be submitted to relevant government officials to support their decisions in raising cigarette excises. As all decisions, by policy makers who have the authority of changing excise policy and by the government officials who have the power and money to execute the excise law, must be supported by evidences and public support, this monitoring will strengthen the efforts of raising excises.

Every six months, the project team will submit the progress of monitoring and evaluation to which the project achieved the stated objectives. All information collected, both supporting and opposing, will be documented and will be included in the proposed publication (journal article).

A.2.5 Identifiable Obstacles

Indonesia is one of the countries that has always used a complicated tax structure. The complex cigarette tax system in Indonesia has created opportunities for cigarette producers to avoid tax, which results in a difficulty to perform tobacco tax reform.

Obstacles would especially come from the government officials whose concerns are merely on current government revenues, from the industries whose concerns are on their income, from tobacco farmers who had been provoked that excises will ruin their businesses, and from employees in tobacco industries. The statement of the previous Minister of Finance who indirectly protected the cigarettes industries can illustrate a clear obstacle, "The priority of this cabinet is economic growth.

The government policy of raising the price of cigarettes almost every year is ineffective if it still maintains a complicated excise tax structure that impacts the affordable prices of cigarettes. In addition, many activists, prominent people, and even academics who are addicted to cigarettes may speak out on media which will influence the public, and it might contradict the objectives of this proposed project.

To address the obstacle, this project will support the government officials and Policy makers members by increasing their knowledge and skills to monitor policy implementations in the country and in other countries. This project will also support

Government officials to convince themselves, the farmers, and the public that their concerns would not turn out as bad as they think, by providing evidence. Lack of support from economists to escalate tobacco control policies concerning fiscal policy makes it necessary to create a coalition of young and future leader in economics to support these policies.

A.2.6 Sustainability

This project will focus on building capacity of the young top economist and relevant stakeholders (Policy makers members, government officials, activists) on various aspects of cigarette consumption and the excises as the main tool to control smoking while maintaining the government revenues. In addition, the project will provide information on those issues via talk shows, media coverage, news, articles, and books that will be made available in printing and electronics. So, other people who may not have time to listen, read, or watch television at the time the activities are conducted may read, watch and listen the information on electronic files. The key to sustainability is the system. The public has its own understanding and the benefits of the high excises of cigarette for them, currently and for their future lives and their families' lives. Lessons learnt from other countries that will be provided to them will also improve their awareness and efforts to continuously support tobacco control even after the project is terminated.

PART A.3 ORGANIZATIONAL INFORMATION

A.3.1 Organisation history and mission

Pusat Ekonomi dan Bisnis Syariah (PEBS) was officially established on January 29, 2007 in Depok. PEBS strive to be a leading and independent institution on research, consulting and publication oriented on academic and policy analysis in various important and relevant Islamic economics and business issues. PEBS' mission statements are providing excellent and sustainable research services on Islamic economics and business issues; as well as to develop and prepare the best research resource in the field of Islamic economics and business. To date, PEBS has been engaging with several partners from reputable international and national institutions. Some of these notable international research partners are IDB (Islamic Development Bank), IRTI (Islamic Research and Training Institute), ADB (Asian Development Bank), and UNDP (United Nations Development Programme), and also, recently, the International Union Against Tuberculosis and Lung Disease, and Bloomberg.

In 2015, PEBS became a partner of International Islamic Trade Finance Corporation (ITFC) in composing Indonesia Trade Finance Report. This project proposed several recommendations for ITFC in which the organization could expand their part in Indonesian trade market as well as strategies on ITFC risk management upon their current investment in Indonesia. ITFC itself was a part of Islamic Development Bank (IDB).

IDB also appointed PEBS to conduct a study on "Applied Stress Testing for Islamic Banking: Statistic and Artificial Intelligence Approach" in 2017. Subsequently, the proposed model will be transformed to an applicable prototype that can be used by the regulators, banking industry, or other relevant institution for simulating the solvency stress test for the Islamic bank.

In 2018, PEBS engaged the United Nations Development Programme (UNDP) in studying Islamic Finance Opportunity Assessment which focused on cases from Indonesia and Turkey. This project seeks opportunity for UNDP to assist and take tangible part in Islamic Finance development both in Indonesia and Turkey.

Furthermore, PEBS also conducted a study about “Incentive Policy Towards Corporate Sukuk Market Development” in collaboration with Asian Development Bank and Indonesian Ministry of Finance which aims to form an incentivizing policy for corporate Islamic bonds (*sukuk*) in Indonesia.

Currently, PEBS also receives grants from Bloomberg Initiative to Reduce Tobacco Use in collaboration with the International Union Against Tuberculosis and Lung Disease for a project to work on tobacco price and excise increase through the engagement of Moslem CBOs. The Project itself is titled “Moslem Civil Society Engagement to Support Tobacco Tax and Price Increase as Implementation of Islamic Values Maqashid al-Sharia (Ultimate Objective of Islam) in Indonesia”.

Considering PEBS expertise in assisting international and governmental institution on policy researches mentioned above, it is perfect to be chosen as a partner for Bloomberg Global Initiative. PEBS has proven themselves to have the capacity, expertise, and commitment necessary to complete a policy research.

C. WORK PLAN																	
Duration of Project: 12 months										PROJECT ID: INDONESIA-26-15							
Objectives and Activities:	Year 1												Status Complete, Ongoing, or Not Yet Started (See note 6)	Lead Person (See Note 4)	Lead Organization (See Note 5)		
	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Month 12					
Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products																	
Activity 1.1. Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan						X	X										
Activity 1.2. Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom	X	X															
Activity 1.3. Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.			X	X													
Activity 1.4. Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.					X												
Activity 1.5. Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.	X	X	X	X	X	X	X	X	X	X	X	X	X				
Activity 1.6. Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings						X											
Activity 1.7. Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.						X			X								
Activity 1.8. Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control	X	X	X	X	X	X	X	X	X	X	X	X	X				
Activity 1.9. Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price						X			X								
Activity 1.10. Support government to countering tobacco companies' interferences in policy development and implementation	X	X	X	X	X	X	X	X	X	X	X	X	X				
Activity 1.11. Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure																	X

Project ID :	INDONESIA-26-15							
Organization:	Center for Islamic Economics and Business Universitas Indonesia							
Project Title:	Building economic arguments for tobacco tax reform in Indonesia							
Project Duration (start/end dates and # of months):	1 December 2020 to 30 November 2021 - 12 months							
DETAILED BUDGET (in US\$ only)								
BUDGET CATEGORY	Unit	YEAR 1			YEAR 2			TOTAL
		Quantity	Unit Price	Subtotal	Quantity	Unit Price	Subtotal	
1. STAFFING COSTS								
A. Staff Salaries (incl. benefits, taxes & other payments)				%			%	
1 Coordinator / Abdillah Ahsan	month	12	1,200	60%	8,640			-
2 Senior Researcher	month	12	900	40%	4,320			-
3 Senior Researcher / Meita Veruswati	month	12	900	40%	4,320			-
4 Team Member / karina mariz	month	12	500	70%	4,200			-
5 Team Member / Jacinda	month	12	500	70%	4,200			-
6 Team Member / Adelia	month	12	500	70%	4,200			-
Subtotal					29,880			- \$ 29,880
TOTAL STAFFING COSTS					\$ 29,880			\$ - \$ 29,880
2. OPERATIONAL COSTS								
Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding national mid-term development plan 2020-2024. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.								\$ 19,153
<i>Activity 1.1. Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan</i>								
Meals and Refreshments	person	10	15	1	150			-
Materials (printing/copying/distribution)	exemplar	10	10	1	100			-
Local Transportation	person	10	25	1	250			-
Subtotal					500			500
<i>Activity 1.2. Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom</i>								
Meals and Refreshments	person	10	15	1	150			-
Fees and Honoraria for Resource person	person	2	74	1	148			-
Materials (printing/copying/distribution)	exemplar	10	5	1	50			-
Local Transportation	person	10	25	1	250			-
Subtotal					598			598
<i>Activity 1.3. Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.</i>								
Meals and Refreshments	person	20	15	1	300			-
Materials (printing/copying/distribution)	Set	1	30	1	30			-
Local Transportation	person	10	25	1	250			-
Subtotal					580			580
<i>Activity 1.4. Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.</i>								
Meals and Refreshments	person	30	15	1	450			-
Per Diem for Participants	person	30	15	1	450			-
Materials (printing/copying/distribution)	Set	30	5	1	150			-
Subtotal					1,050			1,050
<i>Activity 1.5. Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.</i>								
Staffing Cost								
Subtotal								
<i>Activity 1.6. Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings</i>								
Publication and dissemination package	Lumpsum	1	1,000	1	1,000			-
Subtotal					1,000			1,000

<i>Activity 1.7. Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.</i>							
Fees and Honoraria for Resource person (3 workshop)	Person	9	50	3	1,350		
Transport Participants (3 times x 35 Pax)	Trips	35	15	3	1,575		
Meals and Refreshments (3 times x 35Pax)	Person	35	15	3	1,575		
Meeting Materials	Person	35	10	3	1,050		
Meeting room rental	day	1	400	3	1,200		
Subtotal					6,750		6,750
<i>Activity 1.8. Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control</i>							
Staffing cost	month	-	-	-	-		
Subtotal					-		-
<i>Activity 1.9. Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price</i>							
Fees and Honoraria for Resource person (3 workshop)	Person	9	50	3	1,350		
Transport Participants (3 times x 35 Pax)	Trips	35	15	3	1,575		
Meals and Refreshments (3 times x 35Pax)	Person	35	15	3	1,575		
Meeting Materials	Person	35	10	3	1,050		
Meeting room rental	day	1	400	3	1,200		
Subtotal					6,750		6,750
<i>Activity 1.10. Support government to countering tobacco companies' interferences in policy development and implementation</i>							
Staffing cost							
Subtotal					-		-
<i>Activity 1.11. Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure</i>							
Meals and Refreshments	person	35	15	1	525		
Fees and Honoraria for Resource person	person	10	70	1	700		
Per Diem for Participants	person	35	15	1	525		
Materials (printing/copying/distribution)	Set	35	5	1	175		
Subtotal					1,925		1,925
Other Operating cost							\$ 2,000
<i>Conduct International Conferences on Tobacco Excise Increase and Structure Simplification to Support the Amendment of Excise Law</i>							
International Forum travel expenses	Lumpsum	1	2,000	1	2,000		
Subtotal					2,000		2,000
TOTAL OPERATIONAL COSTS					\$ 21,153		\$ - \$ 21,153
3. EQUIPMENT							
<i>List equipment needed to purchase to implement this project</i>							
TOTAL EQUIPMENT COSTS					\$ -		\$ - \$ -
4. ADMINISTRATIVE COSTS							
Transportation	month	12	100		1,200		
Bank Charges	Lumpsum	1	200		200		
External Audit fee IN English	Lumpsum	1	1,500		1,500		
TOTAL ADMINISTRATIVE COSTS					\$ 2,900		\$ - \$ 2,900
5. INDIRECT COSTS			3.0%		\$ 1,618	0.0%	\$ - \$ 1,618
6. TOTAL BUDGET REQUESTED					\$ 55,551		\$ - \$ 55,551



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Annex 3

3.1 Quarterly Statement of Expenditure (US Dollars)

Bloomberg Initiative

Project Name:
Project ID:

Period: Quarter number 1

Months covered:

Category of Expenditure	Total approved budget (Grant)	Quarterly budget	Expenditure for the current quarter	Quarter utilization rate	Expenditure as of the previous quarters	Total expenditure	Total utilization rate	Remarks
(a)	(b)	(c)	(d)	(e) = (d)/(c)	(f)	(g) = (f)+(d)	(h) = (g)/(b)	(i)
1. Staffing Costs	\$0	\$0	\$0		\$0	\$0		
2. Operational Costs	\$0	\$0	\$0		\$0	\$0		
3. Equipment	\$0	\$0	\$0		\$0	\$0		
4. Administrative Costs	\$0	\$0	\$0		\$0	\$0		
5. Indirect Costs	\$0	\$0	\$0		\$0	\$0		
Total	\$0	\$0	\$0		\$0	\$0		

At a glance:

Funds available at the end of previous quarter	\$0	(j)
Funds released during current quarter	\$0	(k)
Total funds available for current quarter	\$0	(l) = (j)+(k)
Expenditure for the current quarter	\$0	(m) = (d)
Balance available at the end of current quarter	\$0	(n) = (l)-(m)
Obligated funds for the current quarter	\$0	(o)

Prepared by:

Name, Signature and date

Approved by:

Name, Signature and date



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3.2 Statement of Expenditure Summary (US Dollars)

Bloomberg Initiative

Project Name: 0

Project Number: 0

Period: All

SUMMARY

	Quarterly Budgets	Funds available at the end of previous quarter	Funds released during each quarter	Total funds available for each quarter (c + d)	Expenditure for each quarter	Balance (e - f)	Cummulative expenditure for the contractual financial period	Remarks
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
1st Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
2nd Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
3rd Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4th Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
5th Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
6th Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
7th Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
8th Quarter	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	

Prepared by:

Name, Signature and date

Approved by:

Name, Signature and date



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3.3 Instructions to Grantee for Quarterly Financial Reporting using “Statement of Expenditure”

The complete reporting file has 8 worksheets for quarterly expenditure reporting and 1 summary sheet for the duration of 1 year. There are 9 columns in the quarterly worksheets and each column is explained below. **Only the yellow boxes need to be filled.**

Columns and Titles

- a) **Categories of Expenditure** - This column includes 5 lines: Staffing Plan / Operational Costs / Other and Audit Costs / Equipment / Indirect Costs.
- b) **Total Approved Budget** – The approved amount of the grant appearing in the Grant Agreement. The amount shown is the amount received from the Bloomberg Initiative in USD currency.
- c) **Quarterly Budget** – The amount shown is the amount needed for the given quarter as per in the detailed budget.
- d) **Expenditure for the Current Quarter** – Enter the expenditure amount for each line item in column A
- e) **Quarter Utilization Rate [No entry is required]** -
- f) **Expenditure as of the previous quarters [No entry is required]** – This column gives the amount actually spent in the previous quarter.
- g) **Total Expenditure [No entry is required]** - This column lists the total funds spent for the current year.
- h) **Total Utilization Rate [No entry is required]** –
- i) **Remarks** – Use this column to enter any comments in reference to expenditures for each line item in column (a).

At A Glance

- j) **Funds Available at the End of Each Previous Quarter [No entry is required]** - This column will give a balance of funds available at the end of each previous quarter.
- k) **Funds Released During Current Quarter** – The amount shown is the amount received from the Bloomberg Initiative in USD currency (do not deduct bank fees from your amount received).
- l) **Total Funds Available for the Current Quarter [No entry is required]** - This column will give the cumulative amount of (j) and (k).
- m) **Expenditure for the Current Quarter** – Enter the expenditure amount for each line item in column (a).
- n) **Balance Available at the end of the current quarter [No entry is required]** - This column is the total money received from the Bloomberg Initiative on line item 24, minus all line item expenditures in column F giving a total balance of funds available at the end of the current quarter.
- o) **Obligated Funds** - Funds that have been committed to the project phase by your organization for the project within the Bloomberg Initiative.

Note: Dated and signed financial reports should be sent to bgigantreports@theunion.org.



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Annex 4 QUARTERLY PROJECT PROGRESS REPORT

- This report must be completed and signed by the Primary Contact for the project.
- The information provided in the report must correspond to the financial information that appears in the financial reports.
- This is a quarterly report, only report on activities conducted and results achieved during the reporting period.
- The report will be analysed by your technical advisor and The Union grants officer. Suggestions will be provided as necessary and you will be expected to revise your report submission accordingly. Incomplete reports will not be accepted and may lead to a delay in disbursement.
- The answers to all questions must cover the quarter as specified in your Schedule of Grant letter, sent to you at the commencement of your grant.

Section One: Project Information	
Project ID	e.g. TURKEY-7-30
Quarter No.	e.g. Quarter 1
Period Covered	e.g. January – March 2010
Report Completed by	Primary Contact as per contract agreement
Project Website	e.g. www.tobaccocontrolgrants.org

Project Title	As per contract agreement
Project Goal	As per contract agreement
Specific Objectives	As per contract agreement
Location	As per contract agreement
Duration of Project	As per contract agreement
Grant Amount	As per contract agreement
Technical Advisor	
Contract Administrator	

Section Two: Executive Summary
<i>Provide a brief assessment of the progress that has been made this quarter and the main issues that have been encountered (no more than 300 words).</i>

Section Three: Activities and Progress against Work Plan
<i>Using the table below, report on activities as outlined in your work plan for the period covered by this report. Describe any changes made to the activities and include the reasons for any change in the 'Explanation of Variance' column. Highlight possibilities of modifications to the project work plan. Please attach a revised work plan if changes have been made in the timing or nature of activities and specify if these changes have been endorsed by the Technical Advisor.</i>

Progress against Work Plan

Objective 1: Objectives as listed in the contract agreement		
Activity	Progress against Activity	Explanation of variance
Activity 1: Activity as listed in the contract Work Plan	<p>What was achieved as a result of these activities being carried out? What indicators were achieved?</p> <p>E.g. 25 Ministry of Health and 10 Health NGO attendees attended the seminar.</p> <p>Policy makers are now advocating in government for a better law.</p>	<p>Reasons for modification for the planned activity – briefly outline the problems including delay, cancellation, postponement of activities which have arisen and how they have been addressed.</p>

<p>Activity 2: Activity as listed in the contract Work Plan</p>	<p>What was achieved as a result of these activities being carried out? What indicators were achieved?</p> <p>E.g. 25 Ministry of Health and 10 Health NGO attendees attended the seminar.</p> <p>Policy makers are now advocating in government for a better law.</p>	<p>Reasons for modification for the planned activity – briefly outline the problems including delay, cancellation, postponement of activities which have arisen and how they have been addressed.</p>
--	--	---

<p>Section Four: Significant Achievements this Quarter or Progress Towards deliverables</p> <p>Please outline briefly the major achievements, if any, resulting from activities implemented during this quarter, including unexpected achievements. Please specify if these achievements were as a result of those activities funded by this project or otherwise. Please also discuss here any opportunities that you have identified. Please express these in bullet points (No more than 3 bullet points).</p> <ul style="list-style-type: none"> • • •
<p>Section Five: Risks, Issues and Challenges</p> <p>Report on any constraints, obstacles, challenges or other issues that have affected the progress and implementation of the project during the reporting period. Detail what impact any issues may have on the achievement of project targets, and set out how you plan to tackle these issues. In this section you can list whether there have been changes in risks, whether they have become issues and whether new risks have been identified.</p>
<p>Section Six: Monitoring and Evaluation</p> <p>Provide brief details of progress in terms evaluating the outcomes of the project. Detail any interesting findings or emerging monitoring and evaluation issues affecting the project.</p>
<p>Section Seven: Request for significant changes to the Contract Agreement</p> <p>Significant changes in the following areas i.e. Project goal, project objectives and project activities over 10% of the budget line item require prior approval from The Union. If you are proposing any changes, please explain the rationale for this and outline any discussion you have had with your TA and CA and whether any endorsement of this change has been received, including any request for a No Cost Extension. Please include with the report submission the new proposed work plan and budget highlighting the changes made.</p>
<p>Section Eight: Financial Statement</p> <p>Provide an outline of project expenditure to date. The Financial Progress Report and Financial Summary Report templates should also be completed and submitted with this report. E.g. 50% of the total budget spent by the end of quarter 5, representing an overall under-spending of 10% of the project budget to date.</p>
<p>Section Nine: Technical Support</p>



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List any technical support provided to you during the reporting period by The Union including training, workshops and/or technical assistance. Include information on name(s) of the attendee(s) and what type of training attended/assistance was received. Detail any action plans/next steps agreed, and any changes that have resulted from this activity.

List any technical support provided to you during the previous reporting periods (3-6 months ago) by The Union including training, workshops and/or technical assistance. Detail any further changes that have resulted from this activity, and any impact this has had on achieving the objective of your grant.

Section Ten: Partnership and Networking

Summarise key issues and learning by you and your partner(s) during the implementation of this quarter's activities. Outline the level of involvement of in-country partner(s) in the activities undertaken this quarter. Please also include any new partnerships that have been developed, if new networking opportunities have been identified, or if changes have been made to existing partnerships (no more than 300 words).

Checklist: Please ensure you have the following documents ready before you submit this report. Please where possible submit all these documents together to bggrantreports@theunion.org

<input type="checkbox"/>	If there have been any changes to the original work plan, please ensure an amended copy of the work plan is attached to this report. If you are requesting significant modifications please submit a revised work plan and modified budget.
<input type="checkbox"/>	Completed Financial Progress Report and Financial Summary Report.
<input type="checkbox"/>	Any appendices or supporting documentation of interest that you wish to submit this quarter.

I hereby declare that the information provided in this report is true and accurate.

Name:	Signature:	Date:

Note : Dated and signed technical reports should be sent to bggrantreports@theunion.org.



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Annex 5 Financial Identification Form

Bloomberg Initiative

Project #: INDONESIA-26-15

CONTRACTOR FINANCIAL IDENTIFICATION FORM

General Contractor Information from signatory

Contractor: Center for Islamic Economics and Business (CIEB)

Street Address: 1st Floor B Building FEB Universitas Indonesia

Kampus UI Depok

City: DEPOK

Postal Code: 16424

Country: Indonesia

Authorized Contact: Rahmatina A. Kasri, PHD.

Position: Director

Phone Number: +6281298290523

E-Mail: unirahma@gmail.com

Banking Details

Accountholder: PEBS FEB UI (CIEB)

Bank Name: Bank Syariah Mandiri (BSM)

Bank Branch: KCP FMIPA UI DEPOK

Bank Address: Komplek Fakultas MIPA, Universitas Indonesia, Depok

Bank Phone number: (021) 7884 9007, 7889 0739

Account #: 7126388057

Swift Code: BSMDIDJA



International Union Against
Tuberculosis and Lung Disease
Health solutions for the poor

Annex 6 Request for Payment Form

Bloomberg Initiative

Project #: XXX

REQUEST FOR PAYMENT**
for ____ Quarter

Total Approved Grant Amount (US Dollars): \$ XXX

Disbursement Breakdown (US Dollars):

1st Quarter**: N/A

2nd Quarter

3rd Quarter

4th Quarter

**A Request for Payment can be made only in conjunction with the submission of the quarterly Statement of Expenditures to The Union via bgiggrantreports@theunion.org.*

***This disbursement amount is indicated in the signed Agreement and is not to exceed 30% of the Total Approved Grant Amount for year 1. Subsequent disbursements will be made after receipt and approval of expenditure and activity reports.*

If the reports show a balance of unspent funds, the subsequent quarter's fund release will be adjusted accordingly.

(Grantee Signature and date)



International Union Against
Tuberculosis and Lung Disease
Health solutions for the poor

Annex 7

Limitations and Exclusions for the Grants Program

The following limitations and exclusions apply to all activities:

1. No grant funds shall be used to engage in any type of political or religious activity.
2. No political statements may be made or referenced (grant funds may not be used for lobbying activities). Information activities may be included if the project proposal suggests so.
3. Funding may not be used for organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, or similar expenses incurred solely to raise capital or obtain Contributions.
4. Applicants will perform satisfactorily all activities outlined in the project. For very limited activities, they may engage subcontractors, including consultants, to complete contractual obligations. In such case, they must be identified in the work plan and budget/budget justification.
5. Minor refurbishment to accommodate additional staff hired specifically for the project could be considered with due justification.
6. Purchase of equipment such as computers, printers etc. must be according to the approved budget.
7. Indirect costs should not exceed 10% of the total direct costs in the budget.

OUT OF CYCLE BUDGET GUIDELINES



Please read these guidelines carefully before completing the budget
You may find it helpful to print this page and refer to it as you complete your budget.

How to complete the budget

- This file contains three worksheets you must complete: (A) Detailed Budget; (B) Budget Summary; (C) Budget Notes
- Begin by completing worksheet (A) Detailed Budget and then complete sections 7, 8, and 9 on worksheet (B) Budget Summary.
- Sections 1-6 on worksheet (B) Budget Summary are automatic fields and should not be deleted or amended.
- All amounts in this budget must be expressed in US\$, in whole dollar amounts. Please state your local currency and the exchange rate against the US\$ used in calculating your budget in section 9. on worksheet (B) Budget Summary.
- IMPORTANT: If you need to insert more rows, please carefully check the subtotals and totals to ensure all amounts are included in the subtotal and total calculation fields and update formulas if necessary.
- If you have additional clarifications or details for the costs listed in (A) Detailed Budget, please list them in (C) Budget Notes worksheet.
- Please also use (C) Budget Notes worksheet to describe any inconsistencies between the proposal narrative/workplan and the budget.

(A) DETAILED BUDGET

In the Header Section in Blue, enter your Project ID (provided in the letter inviting you to submit a full proposal), your organization's full name, your project title and the project duration (proposed start and end dates and number of months).

1 Staffing Costs

- List all staff positions and the names of the staff members scheduled to work on the project. For each position, list the number of months the staff member will work on this project, total monthly cost for that position, and the percentage of time they will allocate to this project. The monthly cost should include all social benefits and taxes related to that position.
- List all consultant positions and names needed to work on the project. For each position, specify the unit cost (hourly/daily/weekly/monthly/one-time cost) used to calculate the budget and the percentage of time they will allocate to this project.
- Adequate staffing is crucial to successful project implementation. Please consider carefully whether you have budgeted for sufficient staff with appropriate qualifications to enable you to achieve your objectives. Shall we mention the necessity of having an admin/finance person in the team at this stage?
- In the (C) Budget Notes, list each position and provide a description of the responsibilities for this project.

2 Operational Costs

Please ensure that all activities described in the proposal narrative and workplan are included in this section of the budget. Please name and number objectives and related activities in the same way as in the project narrative and workplan. Please indicate unit costs (e.g. day/person/trip/Nr of items, etc) and quantities.

Objectives and Activities

This category comprises all estimated costs associated with Activities. The template includes 3 Objectives with 4 Activities within each Objective. If you need to insert more Objectives, Activities or rows, please copy and paste and insert carefully the copied lines. Check the subtotals and totals to ensure all amounts are included in the subtotal and total calculation fields and update formulas if necessary.

- * If you have activities that are not fitting with the breakdown of costs provided, please use the Activity 4 section and list the breakdown of costs related to this specific activity. Name and provide details on this activity and make sure it is also appearing in the Workplan and Proposal as any other activity.*
- Air/Ground travel: Indicate the cost of airfare/ground travel, destination and multiply by the number of travelers.
- Local travel includes taxis, car or van rental, bus fares, parking charges, road tolls and fuel costs if needed. Indicate the overall cost and multiply by the number of travelers, please add some explanation if necessary.
- Lodging and meals (for travelers): Indicate the cost per day, number of days and multiply by the number of travelers.
- Meals: Indicate the cost per person per day if allowances for meals are disbursed. If the meal cost is paid as a total (e.g. directly to the hotel or restaurant) please list the total only.
- Per Diem (for participants): Indicate the cost per day, number of days and multiply by the number of participants.

- Fees and honoraria (for moderator/guest speakers/presenters): Indicate the cost per day, number of days and multiply by the number of persons.
- Meeting room rental: Indicate the meeting room rental charge and multiply by the number of days the room is rented.
- Equipment rental: Include any equipment rented in connection with the workshop/meeting/conference.
- Materials (printing/copying/distribution): This line item comprises cost for printing brochures, manuals, pamphlets, training materials, mass mailing material or major reports in connection with this activity. Do not hesitate to add extra lines if more details are necessary.
- Translation/Video/Photography: Use this line item for costs associated with written translation and interpretation, transcribing documents, video production and professional photography in connection with this activity.
- Contractual Services: This line item comprises contractual services for polling services, media firms, and any other service provided to your organization by an outside contractor. Estimate cost based on quotes from prospective vendors. Indicate the specific type of service required.
- Other: Please specify other costs in connection with this activity for which no above category exists and provide additional explanation in budget notes.

Other Operational Costs

- Website Development & Maintenance: Estimate cost based on quotes from prospective vendors.
- Other Contractual Services: Please use this budget line item for any contractual services not related to activities budgeted above and for which no other budget category exists. Estimate cost based on quotes from prospective vendors. Indicate the specific type of service required.
- Other: Please specify other costs in connection with this project for which no above category exists and provide additional explanation in budget notes.

3 Equipment

- This category comprises all office equipment (e.g. computers, printers, audio visual equipment, scanners, power supplies or software) that are needed for the project implementation. Consideration should be made for renting major equipment, if necessary to implementing this project. List all major items separately along with the estimated cost.

4 Administrative Costs

- Common items in this category include, but are not limited to: Office rent, office utilities (electricity, gas, water), office supplies (pens, paper, printer cartridges), communication (telephone, fax, internet), postage and courier, printing/photocopying and translation/interpretation (not related to a specific activity listed in Operational Costs), bank charges (wire fees, exchange fees and other charges imposed by banks).
- List all items separately along with the estimated unit/monthly cost.

5 Indirect Costs

- Indirect costs are overhead expenses incurred by the applicant organization as a result of the project, but that are not easily identifiable with a specific project. These are expenses that are related to overall operations and are shared among projects and/or functions. Examples include executive oversight, accounting, grants management, legal expenses, utilities and facility maintenance. Indirect costs may also be used to cover currency exchange fluctuation and any unexpected expenses.
- Please describe in budget notes which costs are included in Indirect costs line item.
- The total indirect costs may not be more than 10% of the project direct costs.

(B) BUDGET SUMMARY

- The Header and Sections 1-6 are automatic fields and will reflect all data entered in worksheet (A) Detailed Budget. Please check that Year 1, Year 2 and Grand Totals match totals in worksheet (A) Detailed Budget.
- In Section 7, please describe briefly in-kind resources your organization has available and plans to use on this project such as equipment, furniture, office premises, volunteer work, etc.
- In Section 8, please provide your organization's total budget and the budget amount dedicated to tobacco-control projects for each requested years.
- In Section 9, please state your local currency and its exchange rate against the US\$ used in calculating the budget. Lastly, please enter date of budget submission.

(C) BUDGET NOTES

Please use this section for any clarifications and/or details on the project costs.

If you need assistance in completing the budget or budget notes, please contact: outofcycle@theunion.org

Project ID :	INDONESIA-26-15
Organization:	Center for Islamic Economics and Business Universitas Indonesia
Project Title:	Building economic arguments for tobacco tax reform in Indonesia
Project Duration (start/end dates and # of months):	1 December 2020 to 30 November 2021 - 12 months

DETAILED BUDGET
(in US\$ only)

BUDGET CATEGORY	YEAR 1			YEAR 2			TOTAL
	Unit	Quantity	Unit Price	Subtotal	Quantity	Unit Price	
1. STAFFING COSTS							
A. Staff Salaries (incl. benefits, taxes & other payments)							
1 Coordinator / Abdillah Ahsan	month	12	1,200	60%	8,640	-	
2 Senior Researcher	month	12	900	40%	4,320	-	
3 Senior Researcher / Meita Veruswati	month	12	900	40%	4,320	-	
4 Team Member / karina mariz	month	12	500	70%	4,200	-	
5 Team Member / Jacinda	month	12	500	70%	4,200	-	
6 Team Member / Adelia	month	12	500	70%	4,200	-	
Subtotal					29,880	-	\$ 29,880
TOTAL STAFFING COSTS					\$ 29,880	\$ -	\$ 29,880
2. OPERATIONAL COSTS							
Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding national mid-term development plan 2020-2024. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.							\$ 19,153
<i>Activity 1.1. Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan</i>							
Meals and Refreshments	person	10	15	1	150	-	
Materials (printing/copying/distribution)	exemplar	10	10	1	100	-	
Local Transportation	person	10	25	1	250	-	
Subtotal					500	-	500
<i>Activity 1.2. Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom</i>							
Meals and Refreshments	person	10	15	1	150		
Fees and Honoraria for Resource person	person	2	74	1	148		
Materials (printing/copying/distribution)	exemplar	10	5	1	50		
Local Transportation	person	10	25	1	250		
Subtotal					598		598
<i>Activity 1.3. Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.</i>							
Meals and Refreshments	person	20	15	1	300		
Materials (printing/copying/distribution)	Set	1	30	1	30		
Local Transportation	person	10	25	1	250		
Subtotal					580		580
<i>Activity 1.4. Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.</i>							
Meals and Refreshments	person	30	15	1	450		
Per Diem for Participants	person	30	15	1	450		
Materials (printing/copying/distribution)	Set	30	5	1	150		
Subtotal					1,050		1,050
<i>Activity 1.5. Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.</i>							
Staffing Cost							
Subtotal							
<i>Activity 1.6. Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings</i>							
Publication and dissemination package	Lumpsum	1	1,000	1	1,000		
Subtotal					1,000		1,000
<i>Activity 1.7. Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.</i>							
Fees and Honoraria for Resource person (3 workshop)	Person	9	50	3	1,350		
Transport Participants (3 times x 35 Pax)	Trips	35	15	3	1,575		
Meals and Refreshments (3 times x 35Pax)	Person	35	15	3	1,575		
Meeting Materials	Person	35	10	3	1,050		
Meeting room rental	day	1	400	3	1,200		
Subtotal					6,750		6,750
<i>Activity 1.8. Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control</i>							
Staffing cost	month	-	-	-	-		

BUDGET CATEGORY	Unit	YEAR 1				YEAR 2			TOTAL
		Quantity	Unit Price	Subtotal	Quantity	Unit Price	Subtotal		
Subtotal								-	
<i>Activity 1.9. Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price</i>									
Fees and Honoraria for Resource person (3 workshop)	Person	9	50	3	1,350				
Transport Participants (3 times x 35 Pax)	Trips	35	15	3	1,575				
Meals and Refreshments (3 times x 35Pax)	Person	35	15	3	1,575				
Meeting Materials	Person	35	10	3	1,050				
Meeting room rental	day	1	400	3	1,200				
Subtotal					6,750				6,750
<i>Activity 1.10. Support government to countering tobacco companies' interferences in policy development and implementation</i>									
Staffing cost									
Subtotal					-				
<i>Activity 1.11. Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure</i>									
Meals and Refreshments	person	35	15	1	525				
Fees and Honoraria for Resource person	person	10	70	1	700				
Per Diem for Participants	person	35	15	1	525				
Materials (printing/copying/distribution)	Set	35	5	1	175				
Subtotal					1,925				1,925
Other Operating cost									\$ 2,000
<i>Conduct International Conferences on Tobacco Excise Increase and Structure Simplification to Support the Amendment of Excise Law</i>									
International Forum travel expenses	Lumpsum	1	2,000	1	2,000				
Subtotal					2,000				2,000
TOTAL OPERATIONAL COSTS					\$ 21,153		\$ -		\$ 21,153
3. EQUIPMENT									
<i>List equipment needed to purchase to implement this project</i>									
TOTAL EQUIPMENT COSTS					\$ -		\$ -		\$ -
4. ADMINISTRATIVE COSTS									
Transportation	month	12	100		1,200				
Bank Charges	Lumpsum	1	200		200				
External Audit fee IN English	Lumpsum	1	1,500		1,500				
TOTAL ADMINISTRATIVE COSTS					\$ 2,900		\$ -		\$ 2,900
5. INDIRECT COSTS									
				3.0%	\$ 1,618	0.0%	\$ -		\$ 1,618
6. TOTAL BUDGET REQUESTED									
					\$ 55,551		\$ -		\$ 55,551

Project Identifier:	INDONESIA-26-15
Organization:	Center for Islamic Economics and Business Universitas Indonesia
Project Title:	Building economic arguments for tobacco tax reform in Indonesia
Project Duration:	1 December 2020 to 30 November 2021 - 12 months

RAPID RESPONSE BUDGET SUMMARY
(in US\$ only)

	YEAR 1	YEAR 2	TOTAL
1. STAFFING COSTS	\$29,880	\$0	\$29,880
2. OPERATIONAL COSTS	\$21,153	\$0	\$21,153
3. EQUIPMENT COSTS	\$0	\$0	\$0
4. ADMINISTRATIVE COSTS	\$2,900	\$0	\$2,900
5. INDIRECT COSTS	\$1,618	\$0	\$1,618
6. TOTAL BUDGET REQUESTED	\$55,551	\$0	\$55,551

7. IN-KIND RESOURCES

Please list/describe any in-kind resources your organization has available for this project: Equipment, facilities, furniture, volunteers...

8. YOUR ORGANIZATION'S BUDGET	Year	Total Budget (\$)	Budget Amount dedicated to Tobacco Control (\$)
<i>Example:</i>	2007	\$100,000	\$40,000
Last Year			
Current Year			

9. CURRENCY

What is the local currency used in your country? (insert name of local currency)

What exchange rate, against the US\$, was used to calculate this budget? 0.00000

Date of budget submission (dd/mm/yy)

Project Identifier:	INDONESIA-26-15
Organization:	Center for Islamic Economics and Business Universitas Indonesia
Project Title:	Building economic arguments for tobacco tax reform in Indonesia
Project Duration:	1 December 2020 to 30 November 2021 - 12 months

OUT OF CYCLE BUDGET NOTES

1. STAFFING COSTS

2. OPERATIONAL COSTS

3. EQUIPMENT COSTS

4. ADMINISTRATIVE COSTS

5. INDIRECT COSTS



International Union Against
Tuberculosis and Lung Disease
Health solutions for the poor

**The Bloomberg Initiative
To Reduce Tobacco Use**

Form A: Project Proposal

ORGANIZATION		
Organization's legal name	Center for Islamic Economic and Business	
My organization is	University	
AUTHORIZED CONTACT PERSON		
Contact person	Title (Dr / Ms / Mr etc)	Dr.
	First name	Abdillah
	Family name	Ahsan
Direct email	mytasivasya@gmail.com	

PROJECT	
Project title (20 words or less)	Building economic arguments for tobacco tax reform in Indonesia
Total amount requested in US\$	55,551
Duration of project (in months)	12 months
Proposed start date of project	1 st December 2020
Project scope	-tax/price -coalition building -policy reform
Project outreach: population (number of people) that will be <u>directly</u> affected by your project	250 million (national level)

PART A.1 PROJECT SUMMARY

A.1.1 Provide a concise summary of your proposal

In Indonesia, about 64% of males are smokers, one of the highest in the World. They came from low and middle-income households. A significant contributor has been low excise level—only 57% of retail prices. The World Health Organization recommends at least 70%. Fiscal measures such as taxation and price are the key to reduce smoking prevalence and prevent diseases and deaths from tobacco.

This project directly addresses to build government accountability in implementation of MOF strategic plan to achieve mid-term development plan Recognizing that the Indonesian Ministry of Finance will need to recruit talented young economists in the future, this program will prime potential new hires to understand the issues associated with tobacco control and the importance of a conducive taxation structure that facilitates effective strategies. By investing in this coalition of young economist, we can foster sympathy and support in a cohort that will have a significant influence over the future tobacco control policies

PART A.2 PROJECT DESCRIPTION

A.2.1 Purpose of the project and measurable objectives

The purpose of the project is to support government recovery plan by reformation of taxation.

The project's specific objective

Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.

A.2.2 (a) Context

Indonesia's smoking prevalence reached 34% in 2018. This number has been steady for the last 20 years. Smoking prevalence for male is 68%, the highest in the world. Meanwhile, smoking prevalence for women is almost doubled from 2016 to 2018. Whereas among teenagers 10-18 years old is increasing from 7% (2013) to 9% (2018), in contrast with the government target of 5.4% in 2019. The affordable price of cigarette in Indonesia is the main factor driving the high smoking prevalence in Indonesia, primarily among the children. The cigarette in Indonesia is very cheap, where the average price of a pack of premium brand is \$2 and the price of a pack of cheapest brand is only \$0.45.

The increase in cigarette price is one of the targeted policies in tackling smoking prevalence that could be done mainly by fiscal policy with tax considered as the most effective policy for reducing tobacco use. However, the tobacco tax in Indonesia is still low relative to comparable countries and has complicated

structure with 10 tiers of taxes. This could hinder the effectiveness of tobacco excise policy to reduce tobacco use since it will encourage smokers to switch to cheaper brands once tobacco taxes rise applies.

Lack of support from economists to escalate tobacco control policies via fiscal policies makes it necessary to create a coalition of young and future leaders in economics to support these policies. It is important to address not only current policy makers but also the next generations. Hence, this project will be aimed to link young economist, the next generations of MOF high level officials, together with the current policy makers in tobacco tax. This way, this project is expected to invest in the future leaders of MOF on the health mainstreaming of fiscal policies.

(b) Partners

Department of Economics Faculty of Economics and Business Universitas Indonesia (DE FEB UI)

DE FEB UI is a Department under FEB UI which administers five study programs; the Economics undergraduate study program, Islamic Economics undergraduate study program, Economic Planning and Development Policy graduate study program (Masters), Economics graduate study program (Masters and Doctoral), and Population and Labor Economics graduate study program (Masters). The DE is also responsible for creating academic research culture in FEB UI, building national and international networks, and being active in the internationalization of FEB UI. In research activities, the DE also supervises research clusters for its researchers. One of the research clusters is Tobacco Economics. Furthermore, the DE also manages four academic journals, namely (1) Indonesian Journal of Economics and Development (JEPI), (2) Economics and Finance Indonesia (EFI), (3) Indonesian Journal of Population (IJOP), and (4) Journal of Economic Policy (JKE). DE FEB UI is also considered as the best Department of Economics in Indonesia.

Tobacco Control Support Centre (TCSC) Indonesia

TCSC is a think-tank for laying the foundation to develop a systematic response to the tobacco epidemic in Indonesia in the context of developing tobacco control policies. The TCSC is a project to control tobacco use and consumption under the Indonesian Public Health Association. The TCSC tries to develop NGO networks for tobacco control, increase network capacity, develop data centers to support network activities; and works for tobacco control policies and technical assistance for network members.

The organizations to which the Center will work are:

- a. Indonesian Bachelor of Economics Association.
- b. Association of the Indonesian Faculty of Economics & Business

A.2.3 Project strategies and activities

Strategy 1: Coalition building among young economist:

Coalition building among young economist aims to strengthen understanding and foster support for the importance of tobacco control. This is very important because they will have a significant influence over future tobacco control policies. Increasing cigarette excise tax is the most effective tobacco control strategy so that it becomes important to form a coalition with young economists.

Strategy 2: Building policy brief, framework and publication

Based on evidences, policy brief, framework and publication will be done to support MOF plan to increase excise tax, policy amendment, simplification of tax structure.

Strategy 3: Conducting high-level policy meeting to share and disseminate

Excise tax can play an important role in recovery of economy and health system that has been impacted by COVID-19 pandemic. Building policy makers' awareness, communication and commitment to raise tax and prices on harmful products such as tobacco is very critical.

Strategy 4: Preventing and countering industry's interferences

Tobacco industry's donation PPE, medical equipment, and partnering in social work have been seen as one of the key tactics to interfere policy development and implementation. Tobacco companies are trying to use COVID-19 as an opportunity to market their products and weaken the tobacco control policies such as taxation. Therefore, there is a need to proactively counter and prevent industry activities and support MOF and the government to make progress in taxation.

Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products.

- Activity 1.1 Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan
- Activity 1.2 Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom.
- Activity 1.3 Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.

- Activity 1.4 Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.
- Activity 1.5 Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.
- Activity 1.6 Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings
- Activity 1.7 Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.
- Activity 1.8 Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control
- Activity 1.9 Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price
- Activity 1.10 Support government to countering tobacco companies' interferences in policy development and implementation
- Activity 1.11 Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure.

A.2.4 Evaluation

Evaluation of this project aims to measure achievements, and summarize all feedback on programs that had been implemented. To assess the results and feedback, every month the project team will capture the quality and effectiveness of each activity. Inputs, opinions, comments, counter-arguments from various parties, such as the Indonesian Bachelor of Economics Association and Association of the Indonesian Faculty of

Economics & Business would enhance the preparation to write a book that will be recorded and summarized by the project team. The draft will be reviewed by international tobacco economics expert figures who are concerned about tobacco control.

After the book is published, there will be talk shows and conference sessions, the dissemination of information will be focused on controversial issues, as well as finding counter-arguments to support excise taxes and cigarette prices. Likewise, a list of questions, comments, or arguments from various workshops and talk shows will also be summarized and tabulated to monitor the progress of the many supports available in raising excise and cigarette prices. This monitoring process will ensure that the Project Team captures important information needed for policy communication.

Over time, the progress will be disclosed to the policy makers through public hearings as well as to be submitted to relevant government officials to support their decisions in raising cigarette excises. As all decisions, by policy makers who have the authority of changing excise policy and by the government officials who have the power and money to execute the excise law, must be supported by evidences and public support, this monitoring will strengthen the efforts of raising excises.

Every six months, the project team will submit the progress of monitoring and evaluation to which the project achieved the stated objectives. All information collected, both supporting and opposing, will be documented and will be included in the proposed publication (journal article).

A.2.5 Identifiable Obstacles

Indonesia is one of the countries that has always used a complicated tax structure. The complex cigarette tax system in Indonesia has created opportunities for cigarette producers to avoid tax, which results in a difficulty to perform tobacco tax reform.

Obstacles would especially come from the government officials whose concerns are merely on current government revenues, from the industries whose concerns are on their income, from tobacco farmers who had been provoked that excises will ruin their businesses, and from employees in tobacco industries. The statement of the previous Minister of Finance who indirectly protected the cigarettes industries can illustrate a clear obstacle, "The priority of this cabinet is economic growth.

The government policy of raising the price of cigarettes almost every year is ineffective if it still maintains a complicated excise tax structure that impacts the affordable prices of cigarettes. In addition, many activists, prominent people, and even academics who are addicted to cigarettes may speak out on media which will influence the public, and it might contradict the objectives of this proposed project.

To address the obstacle, this project will support the government officials and Policy makers members by increasing their knowledge and skills to monitor policy implementations in the country and in other countries. This

project will also support Government officials to convince themselves, the farmers, and the public that their concerns would not turn out as bad as they think, by providing evidence. Lack of support from economists to escalate tobacco control policies concerning fiscal policy makes it necessary to create a coalition of young and future leader in economics to support these policies.

A.2.6 Sustainability

This project will focus on building capacity of the young top economist and relevant stakeholders (Policy makers members, government officials, activists) on various aspects of cigarette consumption and the excises as the main tool to control smoking while maintaining the government revenues. In addition, the project will provide information on those issues via talk shows, media coverage, news, articles, and books that will be made available in printing and electronics. So, other people who may not have time to listen, read, or watch television at the time the activities are conducted may read, watch and listen the information on electronic files. The key to sustainability is the system. The public has its own understanding and the benefits of the high excises of cigarette for them, currently and for their future lives and their families' lives. Lessons learnt from other countries that will be provided to them will also improve their awareness and efforts to continuously support tobacco control even after the project is terminated.

PART A.3 ORGANIZATIONAL INFORMATION

A.3.1 Organisation history and mission

Pusat Ekonomi dan Bisnis Syariah (PEBS) was officially established on January 29, 2007 in Depok. PEBS strive to be a leading and independent institution on research, consulting and publication oriented on academic and policy analysis in various important and relevant Islamic economics and business issues. PEBS' mission statements are providing excellent and sustainable research services on Islamic economics and business issues; as well as to develop and prepare the best research resource in the field of Islamic economics and business. To date, PEBS has been engaging with several partners from reputable international and national institutions. Some of these notable international research partners are IDB (Islamic Development Bank), IRTI (Islamic Research and Training Institute), ADB (Asian Development Bank), and UNDP (United Nations Development Programme), and also, recently, the International Union Against Tuberculosis and Lung Disease, and Bloomberg.

In 2015, PEBS became a partner of International Islamic Trade Finance Corporation (ITFC) in composing Indonesia Trade Finance Report. This project proposed several recommendations for ITFC in which the organization could expand their part in Indonesian trade market as well as strategies on ITFC risk management upon their current investment in Indonesia. ITFC itself was a part of Islamic Development Bank (IDB).

IDB also appointed PEBS to conduct a study on “Applied Stress Testing for Islamic Banking: Statistic and Artificial Intelligence Approach” in 2017. Subsequently, the proposed model will be transformed to an applicable prototype that can be used by the regulators, banking industry, or other relevant institution for simulating the solvency stress test for the Islamic bank.

In 2018, PEBS engaged the United Nations Development Programme (UNDP) in studying Islamic Finance Opportunity Assessment which focused on cases from Indonesia and Turkey. This project seeks opportunity for UNDP to assist and take tangible part in Islamic Finance development both in Indonesia and Turkey.

Furthermore, PEBS also conducted a study about “Incentive Policy Towards Corporate Sukuk Market Development” in collaboration with Asian Development Bank and Indonesian Ministry of Finance which aims to form an incentivizing policy for corporate Islamic bonds (*sukuk*) in Indonesia.

Currently, PEBS also receives grants from Bloomberg Initiative to Reduce Tobacco Use in collaboration with the International Union Against Tuberculosis and Lung Disease for a project to work on tobacco price and excise increase through the engagement of Moslem CBOs. The Project itself is titled “Moslem Civil Society Engagement to Support Tobacco Tax and Price Increase as Implementation of Islamic Values Maqashid al-Sharia (Ultimate Objective of Islam) in Indonesia”.

Considering PEBS expertise in assisting international and governmental institution on policy researches mentioned above, it is perfect to be chosen as a partner for Bloomberg Global Initiative. PEBS has proven themselves to have the capacity, expertise, and commitment necessary to complete a policy research.

GUIDELINES FOR

Please read these guidelines

How to complete the work plan

1

2

3

4

5

How to update the work plan during each reporting period

6

How to update the "Staff List" tab

7

If you need assistance in completing

2 PREPARING A WORK PLAN

Read this carefully before completing the work plan.

This work plan should include each project objective, as listed in section 2.1 of the proposal narrative. Under each objective, please list all proposed activities as listed in section 2.3 of the proposal narrative.

Mark the month(s) each activity will take place using an X.

Where more than one organization is involved in your proposal, please indicate clearly the lead organization in charge of each activity.

Please indicate clearly the lead person (staff position/consultant) in charge of each activity.

At the end of each reporting period, please indicate which activities have been completed by selecting either "Complete," "Ongoing," or "Not Yet Started" in the column labeled "Status."

For each reporting period, please list key persons working on the project. The staff list should match the staff list submitted for the budget.

For more information on preparing the work plan, please contact your Technical Advisor

Updated: October 2012

C. WORK PLAN

Duration of Project: 12 months

PROJECT ID: INDONESIA-26-15

Objectives and Activities:	Year 1												Status Complete, Ongoing, or Not Yet Started (See note 6)	Lead Person (See Note 4)	Lead Organization (See Note 5)
	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Month 12			
Objective 1: Support Ministry of Finance (MOF) to ensure implementation of MOF decree number 77-year 2020 regarding MOF strategic plan. The decree includes simplification of excise tax, amendment of excise policies and increment of tax and prices on tobacco products															
Activity 1.1. Develop policy brief to support MOF in implementation of simplification and excise tax provisions in line with national mid-term development plan						X	X							ABDILLAH AHSAN	CIEB
Activity 1.2. Approach existing network of economists to build policy awareness and gain support. The existing network are Indonesian Association of Economics (ISEI), the Association of the Indonesian Faculty of Economics and Business (AFEBI), the Indonesian Bureau of Economic Research (IBER). This can be done virtually via zoom		X	X											ABDILLAH AHSAN	CIEB
Activity 1.3. Identify a team of young economists from the existing networks who have interest on tobacco taxation and health. The team can be 5 to 7 young economists from various university.			X	X										ABDILLAH AHSAN	CIEB
Activity 1.4. Organize virtual seminar to build capacity and awareness of the young economists on excise tax, government revenue and health.					X									ABDILLAH AHSAN	CIEB
Activity 1.5. Support in building local, regional and global about tobacco excise structure simplification and the review of current policies regarding the maximum excise tariff and the impact of its increase and simplification.	X	X	X	X	X	X	X	X	X	X	X	X		ABDILLAH AHSAN	CIEB
Activity 1.6. Publish and disseminate evidences to policy makers by providing academic publication and organizing policy meetings						X						X		ABDILLAH AHSAN	CIEB
Activity 1.7. Conduct assessment programs by the team of young economists with related policymakers to understand what is being done and what is being planned regarding tobacco taxation. They are mainly Ministry of Finance, Ministry of Health, Ministry of Education, Ministry of National Planning and Development, Ministry of Coordinating for Economic Affairs, Ministry of Agriculture, Ministry of Industry, The Executive Office of the President.						X			X			X		ABDILLAH AHSAN	CIEB
Activity 1.8. Carry out public discussion virtually/physically to get inputs and engagement of public figures in tobacco control	X	X	X	X	X	X	X	X	X	X	X	X		ABDILLAH AHSAN	CIEB
Activity 1.9. Conduct intensive strategic meetings with the media (print, and online form) to gaining public support. Media engagement is critical to build persuasive communication and leading public opinion on tax increases and cigarette price						X			X			X		ABDILLAH AHSAN	CIEB
Activity 1.10. Support government to countering tobacco companies' interferences in policy development and implementation	X	X	X	X	X	X	X	X	X	X	X	X		ABDILLAH AHSAN	CIEB
Activity 1.11. Organize webinar to build policy interaction and support in raising tobacco tax. The webinar will target over 1000 participants including policy makers. The result of this webinar is expected to bring scientific insights about the increase in cigarette taxes and prices and simplify the tobacco excise structure												X		ABDILLAH AHSAN	CIEB

Start Date (on the Project)	End Date (if applicable)
Aug-19	Mar-21
Aug-19	Mar-21
Aug-19	Mar-21
Aug-19	Mar-21
Aug-19	Mar-21

Time Allocation (e.g. 100%, 50%, 1 day/month)	
	70%
	50%
	70%
	70%
	70%

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